

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Stuart I. Smedley et al.

Application No.: 10/661,409

Filed: September 12, 2003

For: SELF-CONTROLLING FUEL CELL

POWER SYSTEM

Art Unit: 1746

Examiner: Unassigned

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- Information Disclosure Statement under 37 C.F.R. §1.56, 1.97 and 1.98;
- Form PTO-1449 with 22 references; and
- Return postcard.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February	10	2004
Date of De	eposit	

Robin L. Clow	•
Name of Person Mailing Paper	

Signature of Person Mailing Paper

Howrey Docket No. 04813.0023.NPUS02 MP Ref. No.: M18.NPR.US

Applicant believes no fees are due in connection with this transmittal. However, if any fees are in fact due, the Commissioner is hereby authorized to be charged to our Deposit Account No. 08-3038 referencing Docket No. 04813.0023.NPUS02.

Respectfully submitted,

Dated: February 10, 2004.

Sean D. Burdick, Reg. No. 51,513

HOWREY SIMON ARNOLD & WHITE, LLP

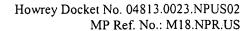
Box No. 34

'301 Ravenswood Avenue

Menlo Park, CA 94025

Facsimile: (650) 463-8400

Telephone: (949) 759-5269





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Stuart I. Smedley, et al.

Application No.: 10/661,409

Filed: September 12, 2003

For: SELF-CONTROLLING FUEL CELL

POWER SYSTEM

Art Unit: 1746

Examiner: Unassigned

Information Disclosure Statement Under 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby submits, without admission of prior art effect thereof, the documents listed on the accompanying Form PTO-1449 pursuant to the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 10, 2004
Date of Deposit

Robin L. Clow Name of Person Mailing Paper

Signature of Person Mailing Paper

Howrey Docket No. 04813.0023.NPUS02 MP Ref. No.: M18.NPR.US

This Information Disclosure Statement is being filed within three months of the U.S. filing date or before the mailing date of a first Office Action on the merits, therefore no statement under 37 C.F.R. § 1.97(e) or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038, referencing matter number 04813.0023.NPUS02.

Respectfully submitted,

Date: February 10, 2004

Sean D. Burdick

Registration No. 51,513

HOWREY SIMON ARNOLD & WHITE, LLP Box No. 34 301 Ravenswood Avenue Menlo Park, California 94025 Telephone No. (949) 759-5269 Fax No. (650) 463-8400

FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.	
OLPE	04813.0023.NPUS02	10/661,409	
LIST OF PARENTS AND OTHER ITEMS FOR APPLICANT'S	APPLICANT:		
111 05/11/11 DISSESSES 112 0 1/11 2 11/11	Stuart I. Smedley et al.		
FEB 1 2 ⁻²⁰⁰⁴ (Ase several sheets if necessary)	FILING DATE:	GROUP:	
(ase several sheets if necessary)	September 12, 2003	1746	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,826,237	7/30/1974	Csicsery et al.			
	3,932,262	1/13/1976	Stevens et al.			
	4,294,891	10/13/1981	Yao et al.			
	4,422,917	12/27/1983	Hayfield			
	4,650,727	3/17/1987	Vanderborgh et al.			
	4,756,979	7/12/1988	Specht			
	4,820,594	4/11/1989	Sugita et al.			
	5,071,717	12/10/1991	Bushnell			
	5,634,442	6/3/1997	Weber			
:	5,697,340	12/16/1997	Shino et al.			· · · · · · · · · · · · · · · · · · ·
	5,798,186	8/25/1998	Fletcher et al.			
	5,998,053	12/7/1999	Diethelm			
	6,068,941	5/30/2000	Fuller et al.			,
	6,103,410	8/15/2000	Fuller et al.			
	6,106,964	8/22/2000	Voss et al.			
	6,127,056	10/3/2000	Wheeler at al.			
	6,268,074	7/31/2001	Siepierski et al.			<u>-</u>
	6,268,075	7/31/2001	Autenreith et al.			
	6,296,959	10/2/2001	Takabe et al.			
	2003/0072977	4/17/2003	Speranza et al.			

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

								,
FORM PTO-1449				ATTY. DOCKET	T NO.	SERIAL NO) .	
				04813.0023.N	PUS02	10/661,409	9	
OLISTOF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			APPLICANT:					
INFOF	RMATION DISCLOS	JRE STATEMENT	•	Stuart I. Smed	ley et al.			
FEB 1 2 2004 2 (Use several sheets i	f necessary)		FILING DATE:		GROUP:		
I I	USE SEVELAL SHEELS	ii iiecessaiy/		September 12,	2003	1746		
49							·	
RADEMBRY		FOREIGN PA	ATENT DO	CUMENTS				
EXAMINER	DOCUMENT	DATE	CC	NINTRY	CLASS	SUB	TRANS	SLATIO

EXAMINER	DOCUMENT				SUB	TRAN	SLATIO
INITIAL	NUMBER	DATE	COUNTRY	CLASS	CLASS	YES	NO
	JP 57-55070	4/1/1982	Japan				X
	JP 63-236270	10/3/1988	Japan		,		X
					,		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	None						
					y.		
							-
						**************************************	·

SD-84546.1	
EXAMINER:	DATE CONSIDERED:
Examiner	
EXAMINER: Initial if reference is considered, whether o line through citation if not in conformance and not conside communication to applicant	